

Law Office of Mark J. Spolyar
Counselor and Attorney in Intellectual Property and Technology Law Matters

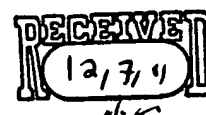
554 Jersey Street
San Francisco, CA 94114

mark@spolyar-iplaw.com
415.826.7966 (v)
415.480.1780 (f)

FACSIMILE TRANSMISSION

Date: December 7, 2001
To: Technology Center 2100
United States Patent & Trademark Office
Fax: 703-746-7239
From: Mark Spolyar
Number of Pages (including cover sheet): 12
RE: Transmission of Preliminary Amendment
U.S. Serial No. 09/384,963

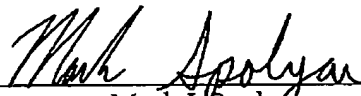
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CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8

Facsimile Number: 703-746-7239
Date: December 7, 2001

I hereby certify that this correspondence, and the papers referred to herein, are being facsimile transmitted to the United States Patent and Trademark Office on the date indicated above.


Mark J. Spolyar
Reg. No. 42164

Papers Included Herewith:

1. Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Credit Card Payment Form PTO-2038 (1 page);
4. Preliminary Amendment under 37 CFR 1.115 (8 pages); and
5. This Cover Sheet (1 page).

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)


Approved for use through 10/31/2002. OMB 0851-0031


U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/384,963
	Filing Date	Aug 27, 1999
	First Named Inventor	Sankar, Sriram
	Group Art Unit	2151
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	6543/53659

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Certification of Facsimile Transmission Under 37 CFR 1.8
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Law Office of Mark J. Spolyar
Signature	
Date	December 7, 2001

CERTIFICATE OF FACSIMILE TRANSMISSION			
I hereby certify that this correspondence is being facsimile transmitted to the United States Patent & Trademark Office to: 703-746-7239, Tech. Center 2100, Commissioner for Patents, Washington, D.C. 20231 on this date: December 7, 2001			
Type or printed name	Mark James Spolyar		
Signature		Date	December 7, 2001

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PTO/SB/17 (11-00)

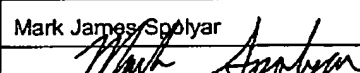
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<h1 style="text-align: center;">FEE TRANSMITTAL</h1> <h2 style="text-align: center;">for FY 2001</h2> <p style="text-align: center;"><i>Patent fees are subject to annual revision.</i></p>		<i>Complete if Known</i>		
		Application Number	09/384,963	
		Filing Date	8/27/1999	
		First Named Inventor	Sankar, et al.	
		Examiner Name		
		Group Art Unit	2151	
TOTAL AMOUNT OF PAYMENT (\$)		612.00	Attorney Docket No.	6543/53659

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number _____ Deposit Account Name _____ <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>26</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY		<i>Complete (if applicable)</i>	
Name (Print/Type)	Mark James Spolyar	Registration No. (Attorney/Agent)	42164
Signature		Telephone	415-826-7966
		Date	December 7, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sankar et al.

Application No.: 09/384,963

Filed: 8/27/1999

Title: Mixed-Mode Execution for Object-Oriented Programming Languages

Attorney Docket No.: 6543/53659

Group Art Unit: 2151

Examiner:

PRELIMINARY AMENDMENT UNDER 37 CFR 1.115Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Please amend this application as follows:

In the Specification:

On page 1, before "BACKGROUND OF THE INVENTION": Please add:

RELATED APPLICATION

A1

The present application claims priority from U.S. Provisional Application Serial No. 60/098,315, filed August 28, 1998 and entitled "Mixed-Mode Execution for Object-Oriented Programming Languages," which is incorporated herein by reference in its entirety for all purposes.

04/19/2002 BABROW1 00000022 09384963

01 FC:203
02 FC:202180.00 OP
126.00 OPPreliminary Amendment for USSN 09/384,963
Atty Dkt 6543/53659

Page 1 of 8